

# CONFIRMATION OF COMPLIANCE BY FINANCIAL INSTITUTION LOCKED-IN PENSION FUNDS



imaxx Mutual Funds™

# Confirmation of Compliance by Financial Institution Locked-In Pension Funds

## 1 TO BE COMPLETED BY TRANSFERRING COMPANY

COMPANY NAME

### SOURCE OF FUNDS:

LIRA    LRSP    LIF

### APPLICABLE LEGISLATION:

NAME OF PROVINCE

### DISTRIBUTION OF LOCKED-IN FUNDS:

\$ <input type="text"/>	\$ <input type="text"/>
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UNISEX

SEX DISTINCT

### SIGNATURE OF OFFICER OF TRANSFERRING COMPANY:

<input type="text"/>	<input type="text"/>
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SIGNATURE

DATE (YYYY/MM/DD)

<input type="text"/>	<input type="text"/>
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NAME (PLEASE PRINT)

POSITION

## 2 TO BE COMPLETED BY RECEIVING INSTITUTION

<input type="text"/>	<input type="text"/>
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CLIENT NAME

SOCIAL INSURANCE NUMBER

ACCOUNT NUMBER

### The locked-in funds received by Fiera Capital Corporation will be transferred to a:

Locked-in Retirement Account (LIRA)

Locked-in Retirement Savings Plan (LRSP)

Life Income Fund (LIF)

I hereby acknowledge and certify that these locked-in funds will be administered in accordance with the applicable Pension Benets legislation and regulations.

<input type="text"/>	<input type="text"/>
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AUTHORIZED SIGNATURE

DATE (YYYY/MM/DD)

<input type="text"/>	<input type="text"/>
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NAME (PLEASE PRINT)

POSITION

## Dealer Services

### Head Office

**FIERA CAPITAL CORPORATION**

1981 McGill College Avenue  
Suite 1500  
Montreal, Quebec H3A 0H5

**T** 1 866 323-5598 (toll free)

### Return Form via mail or fax to:

**FIERA CAPITAL CORPORATION C/O RBC INVESTOR & TREASURY SERVICES**

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3rd floor Imaging Team  
PO Box 7500, Station A  
Toronto, Ontario M5V 3L3

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