

# imaxx™ Mutual Funds Investment Questionnaire

The information collected with the Investment Questionnaire will be used by your advisor to help identify an appropriate imaxx mutual fund solution that best suits your tolerance for risk. Please complete the following three simple steps with the help of your advisor.

## CLIENT INFORMATION:

LAST NAME		FIRST NAME		INITIALS
ADDRESS		CITY	PROVINCE	POSTAL CODE
HOME PHONE NUMBER	WORK PHONE NUMBER	CELL PHONE NUMBER	EMAIL	

## STEP 1 – COMPLETE THE INVESTMENT QUESTIONNAIRE

### Financial objectives and time horizon

#### 1. Which of the following statements best describes your objective for the money you are investing?

- a) Preservation of capital = 0 points
- b) Growth through a balance of capital gains and income = 3 points
- c) Growth through capital gains and some income = 4 points
- d) Growth primarily through capital gains = 8 points

Number of points

#### 2. How long do you plan to leave this money invested?

- a) Under 2 years = 0 points
- b) 2 – 5 years = 3 points
- c) 6 – 10 years = 6 points
- d) 11 – 15 years = 8 points
- e) Over 15 years = 10 points

Number of points

*Note: If you have selected "Under 2 Years" as the time horizon for this investment, regardless of your score, a 100% fixed-income product may be the most suitable investment option. Please discuss with your advisor.*

#### 3. What is the chance that you may wish to cash in a significant portion of this investment earlier than anticipated?

- a) Low (less than 10%) = 5 points
- b) Medium (between 10% and 25%) = 3 points
- c) High (over 25%) = 0 points

Number of points

### Investment experience and knowledge

#### 4. Which of the following best describes your level of investment knowledge?

- a) Novice = 0 points
- b) Some familiarity = 1 points
- c) Reasonably knowledgeable = 2 points
- d) Quite knowledgeable = 4 points
- e) Very knowledgeable = 5 points

Number of points

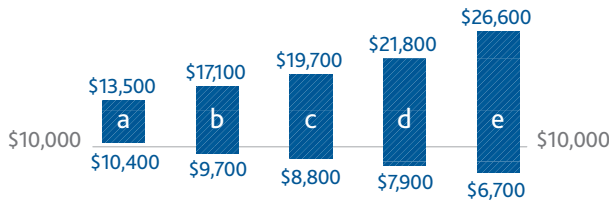
### Attitude toward risk

#### 5. Typically, investments which are more volatile (i.e., tend to go up and down in value) will, over the long term, have greater potential for return. With regards to this investment, how much of a drop in value over one year could you tolerate before becoming uncomfortable?

- a) Less than 1% = 0 points
- b) 1% to 3% = 1 points
- c) 4% to 6% = 3 points
- d) 7% to 10% = 4 points
- e) More than 10% = 5 points

Number of points

6. Investments that offer the highest potential returns typically have the greatest variability of returns. Given this statement, assuming you had a \$10,000 investment, please select one of the following gain/loss scenarios that you would be most comfortable with after a five-year investment time period. The gain/loss scenarios below show the range of the potential value of the \$10,000 investment at the end of the five-year period.



- a) 0 points = 0 points
- b) 1 point = 1 points
- c) 2 points = 2 points
- d) 3 points = 3 points
- e) 5 points = 5 points

Number of points

**Personal information**

7. Which of the following best describes your employment circumstances?

- a) Full time = 5 points
- b) Self-employed = 3 points
- c) Part-time = 2 points
- d) Retired = 0 points
- e) Other = 1 points

Number of points

8. Your personal income, before taxes, is in which of the following ranges:

- a) Under \$25,000 = 0 points
- b) \$25,001 – \$50,000 = 1 points
- c) \$50,001 – \$80,000 = 2 points
- d) \$80,001 – \$125,000 = 4 points
- e) Over \$125,000 = 5 points

Number of points

9. The current value of your investments (i.e., registered, non-registered, mutual funds, segregated funds, chequing/ savings accounts), excluding real estate, is:

- a) Under \$25,000 = 0 points
- b) \$25,001 – \$50,000 = 1 points
- c) \$50,001 – \$100,000 = 2 points
- d) \$100,001 – \$250,000 = 4 points
- e) Over \$250,000 = 5 points

Number of points

10. Your current age is within which of the following categories:

- a) Under 30 = 4 points
- b) 30 – 39 = 5 points
- c) 40 – 54 = 3 points
- d) 55 – 71 = 1 points
- e) Over 71 = 0 points

Number of points

**STEP 2 – TOTAL YOUR SCORE**

Simply add up your total points from the 10 questions above to determine your investment profile score.

Total points

**STEP 3 – DETERMINE YOUR INVESTMENT RISK PROFILE**

Using your total score from Step two, please refer below to the corresponding recommendations. Once you have determined your Investment Risk Profile, your advisor can work with you to determine appropriate investments.

Score	Investment risk profile	Target Asset mix	Mutual funds offerings
11 or less	Very conservative	100% money market and short-term fixed income	imaxx™ Short Term Bond Fund
Between 12 and 20	Conservative	100% fixed income	imaxx™ Canadian Bond Fund
Between 21 and 33	Moderate	20% fixed income & 80% North American equities	imaxx™ Canadian Fixed Pay Fund
Between 34 and 46	Aggressive	100% North American equities	imaxx™ Canadian Dividend Plus Fund / imaxx Equity Growth Fund
47 and greater	Very aggressive	100% global equity	imaxx™ Global Equity Growth Fund

I understand that the results of this questionnaire do not constitute a recommendation by Fiera Capital Corporation or any of its affiliates or subsidiaries.

CLIENT SIGNATURE	DATE (YYYY/MM/DD)

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus before investing. Mutual funds are not guaranteed, their values change frequently, and past performance may not be repeated.

Fiera Capital Corporation has developed this questionnaire to assist you in developing guidelines for your investment strategy. This questionnaire does not form part of a contract and should be completed with the assistance of an advisor. All calculations are based on assumptions believed to be reasonable at the time of development. Fiera Capital Corporation is not acting in the capacity of an advisor to the individual investor. Fiera Capital Corporation is not responsible for any damages resulting from the use of this questionnaire by you or any other person.